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P1007(12832RRUS01)

PATENT



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: Tummala et. al.

Serial No.: 09/677,274

Filed: October 2, 2000

For: AAA Broker and Specification

Group Art Unit: 2126

Examiner: Chang, Jungwon

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Technology Center 2100

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**RESPONSE TO FIRST OFFICE ACTION**

In response to the First Office Action mailed June 7, 2004, the Applicant respectfully requests reconsideration in light of the present Response.

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**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is, on the date shown below, being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to the Assistant Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date:

September 2, 2004

  
Amy Kasper

## INTRODUCTORY COMMENTS

The Examiner rejected claims 1-41 under 35 U.S.C. § 112 for indefiniteness for the use of the terms “AAA” and “AVP.” The claims were rejected and/or objected to as follows:

1. Claims 1, 2, 3, 6, 20-23, 25, and 40-41 were rejected under 35 U.S.C. § 102(e) in light of U.S. Patent 6,728,536 to Basilier (hereafter “the ‘536 Patent”).
2. Claims 3, 14, 19, 33, 38, and 39 were rejected under 35 U.S.C. § 103(a) as unpatentable over the ‘536 Patent.
3. Claims 5, 7-13, 15-18, 24, 26-32, and 34-37 were rejected under § 103(a) in view of the ‘536 Patent and U.S. Patent 6,466,977 to Sitarman et al.
4. Claims 13, 16, 30, 32 and 35 were also objected to as duplicates of other claims.

The Applicants have amended the claims to address the objections and rejections traversed herein and respectfully request reconsideration of the application and allowance of pending claims 1-42 in light of the amendments and comments herein.